

1 **Multidecadal variability of the Tonle Sap Lake flood pulse regime**

2 **Running head: Flood pulse in the Tonle Sap Lake**

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18 19 **Abstract**

20 Tonle Sap Lake (TSL) is one of the world's most productive lacustrine ecosystems, driven by the
21 Mekong River's seasonal flood pulse. This flood pulse and its long-term dynamics under the
22 Mekong River basin's fast socio-economic development and climate change need to be identified
23 and understood. However, existing studies fall short of sufficient time coverage or concentrate
24 only on changes in water level (WL) that is only one of the critical flood pulse parameters
25 influencing the flood pulse ecosystem productivity. Considering the rapidly changing
26 hydroclimatic conditions in the Mekong basin, it is crucial to systematically analyze the changes
27 in multiple key flood pulse parameters. Here, we aim to do that by using observed WL data for
28 1960 – 2019 accompanied with several parameters derived from a Digital Bathymetry Model.
29 Results show significant declines of WL and inundation area from the late 1990s in the dry
30 season and for the whole year, on top of increased subdecadal variability. Decreasing

31 (increasing) probabilities of high (low) inundation area for 2000 – 2019 have been found, in
32 comparison to the return period of inundation area for 1986 – 2000 (1960 – 1986). The mean
33 seasonal cycle of daily WL in dry (wet) season for 2000 – 2019, compared to that for 1986 –
34 2000, has shifted by 10 (5) days. Significant correlations and coherence changes between the WL
35 and large-scale circulations (i.e., El Niño-Southern Oscillation [ENSO], Pacific Decadal
36 Oscillation [PDO], and Indian Ocean Dipole [IOD]), indicate that the atmospheric circulations
37 could have influenced the flood pulse in different time scales. Also, the changes in discharge at
38 the Mekong mainstream suggest that anthropogenic drivers, such as hydropower operations, may
39 have impacted the high water levels in the lake. Overall, our results indicate a declining flood
40 pulse since the late 1990s.

41

42 **Keywords:** Water level, Inundation area, Climate change, Cambodia, Mekong

43

44 **1. INTRODUCTION**

45 Lakes provide freshwater resources and myriad ecosystem services. As a consequence of
46 anthropogenic activities and climate change, however, lakes are frequently impacted, affecting
47 the livelihood of local residents and communities (Junk, Bayley, & Sparks, 1989; Lamberts,
48 2006; Tang, 2020). Cambodia’s Tonle Sap Lake (TSL), the largest lake in Southeast Asia, is one
49 of the world’s most productive lake-wetland systems (Arias, Holtgrieve, Ngor, Dang, & Piman,
50 2019; Campbell, Poole, Giesen, & Valbo-Jorgensen, 2006; MRC, 2010a; Poulsen, Ouch,
51 Sintavong, Ubolratana, & Nguyen, 2002; Ziv, Baran, Nam, Rodriguez-Iturbe, & Levin, 2012),
52 supporting about 1.7 million people (Keskinen, 2006; Salmivaara, Kummu, Varis, & Keskinen,
53 2016). The TSL has a unique ‘flood pulse’ (Arias, Cochrane, Norton, Killeen, & Khon, 2013;
54 Junk et al., 1989), characterized by a seasonal rhythm of water level fluctuation between wet and
55 dry seasons and resulting in a seasonally inundated floodplain (Arias et al., 2012; Frappart et al.,
56 2006; MRC, 2010a).

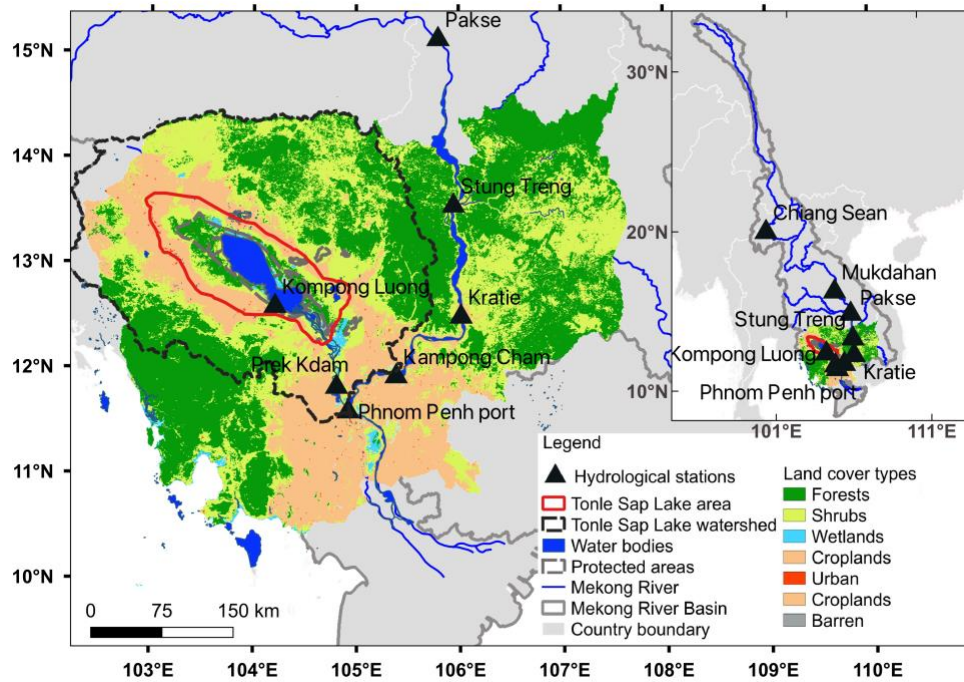
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58 This periodic and extensive floodplain provides unique habitats for many seasonally migratory
59 fish species with replenishment of nutrients from the Mekong River (Arias et al., 2019; Campbell

60 et al., 2006; MRC, 2010a; Poulsen et al., 2002; Ziv et al., 2012). The TSL also offers provisions
61 of freshwater resources (Chadwick, Juntopas, & Sithirith, 2008; Kummu, Sarkkula, Koponen, &
62 Nikula, 2006) and maintains crucial habitats for many endangered species (Campbell et al.,
63 2006; Uk et al., 2018). In addition, the lake’s flood regime influences land cover change by, for
64 instance, delineating the area of cropland in the floodplain and affecting the forest cover change
65 (Arias et al., 2012; Halls et al., 2013; Salmivaara et al., 2016). Henceforth, TSL is the “heart of
66 the lower Mekong”, as the regional socio-economic development and ecosystem sustainability
67 ultimately depend on the “flood pulse” (Junk et al., 1989; Keskinen, 2006; Lamberts, 2006;
68 Salmivaara et al., 2016; Uk et al., 2018) (Figure 1).

69

70 Climate change and socio-economic development in the Mekong River Basin (MRB) have posed
71 a soaring pressure on water resources in the past decades (Grumbine & Xu, 2011; Pokhrel et al.,
72 2018; Uk et al., 2018; Wang, Feng, Liu, Hou, & Chen, 2020), through rapid development of
73 hydropower dams with large reservoirs (Grumbine & Xu, 2011; Hecht, Lacombe, Arias, Dang,
74 & Piman, 2019; Yun et al., 2020), irrigation (Floch & Molle, 2009; Kummu, 2009; Pokhrel et al.,
75 2018), deforestation (Davis, Yu, Rulli, Pichdara, & D’Odorico, 2015; Hansen et al., 2013; Zeng
76 et al., 2018), urbanization and cropland extension (Arias et al., 2019; Senevirathne, Mony,
77 Samarakoon, & Kumar Hazarika, 2010; Song, Lim, Meas, & Mao, 2011). Strongly dominated by
78 the Mekong mainstream flow, the flood pulse of the TSL would be influenced by any plausible
79 changes to the mainstream flow (Kummu & Sarkkula, 2008; Kummu et al., 2014), resulting in
80 destructions of the contiguous floodplain, inhibition of fish production, and thus the livelihood
81 for the floodplain inhabitants (Keskinen, 2006; Lin & Qi, 2017; MRC, 2010b). Therefore, an
82 adequate understanding of changes in the flood pulse is crucial for local and regional water
83 management and sustainable development.



84

85 **Figure 1.** General information of the Tonle Sap Lake and Mekong River Basin. Data source:
 86 Land cover types in 2001 are from the MODerate resolution Imaging Sensor (MODIS)
 87 MCD12Q1; Hydrological stations are from Mekong River Commission
 88 (<http://www.mrcmekong.org/>); Other information is from the Open Development Cambodia
 89 (<https://opendevelopmentcambodia.net/>).

90

91 Many studies have investigated flood regime changes in the TSL, including water level (Frappart
 92 et al., 2006), water volume (Frappart et al., 2018; Kummu & Sarkkula, 2008; Siev, Paringit,
 93 Yoshimura, & Hul, 2016), flood extent (Arias et al., 2012; Dang, Cochrane, Arias, Van, & de
 94 Vries, 2016; Ji, Li, Luo, & He, 2018), and turbidity (Wang et al., 2020), by various means:
 95 remote sensing (e.g., MODIS, GRACE, RADARSAT, Landsat, ALOS/PALSAR (Dang et al.,
 96 2016; Ji et al., 2018; Sakamoto et al., 2007; Tangdamrongsub, Ditmar, Steele-Dunne, Gunter, &
 97 Sutanudjaja, 2016; Wang et al., 2020), Digital Bathymetry Model, and ground observed water
 98 level data (Arias et al., 2013; Kummu & Sarkkula, 2008). In general, for the flood regime of the
 99 TSL, these studies agree on an overall decreasing trend from 2000 in terms of water level (in the
 100 wet and dry seasons) (Ji et al., 2018; Lin & Qi, 2017) and inundation area (in the wet season)
 101 (Lin & Qi, 2017; Vichet et al., 2019).

102

103 High-resolution remote sensing data have played an important role in studying the flood pulse.
104 These studies, targeted on the recent three decades at the earliest, have fallen short of sufficient
105 time coverage to analyze the long-term changes as well as consistency of data sources. Recent
106 articles have examined the water level change over a longer time period (Cochrane, Arias, &
107 Piman, 2014; Guan & Zheng, 2021), but this is only one of the key parameters impacting flood
108 pulse ecosystem productivity (Junk et al., 1989). Given the importance of the lake's flood pulse
109 and potential changes to the lake caused by the climate and anthropogenic drivers in the MRB,
110 more information is needed on the long-term changes of flood pulse's key parameters (Arias et
111 al., 2019). We provide here a systematic analysis of all key flood pulse parameters and their
112 changes over the past 60 years, i.e., 1960 – 2019, and thus reveal the much-needed information
113 on changes in the flood pulse system. This is essential in understanding the potential impacts of
114 the flood regime changes on Tonle Sap's ecosystem.

115

116 **2. MATERIALS AND METHODS**

117 **2.1 Tonle Sap Lake**

118 Modulated by monsoon systems, the Mekong's hydrology has distinct wet and dry season
119 features (Chen, Chen, & Azorin-Molina, 2018; Delgado, Merz, & Apel, 2012; MRC, 2010b).
120 Linking to the Mekong mainstream, the TSL is governed by the hydraulic gradient between the
121 mainstream and TSL, causing a reverse flow of Tonle Sap River in wet seasons (MRC, 2010a).
122 The reverse flow from the Mekong into the TSL usually starts in May and ends in September
123 (Kummu et al., 2014; MRC, 2019; Uk et al., 2018), contributing to more than 50% of the TSL's
124 annual volume change (Kummu et al., 2014). The lake's water level ranges between ~1 and ~10
125 meters above the mean sea level (m), driving the inundated floodplain fluctuating between
126 ~2,500 km² and ~15,000 km². The data and methods are described in detail as follows.

127

128 **2.2 Tonle Sap Lake water level and inundation area**

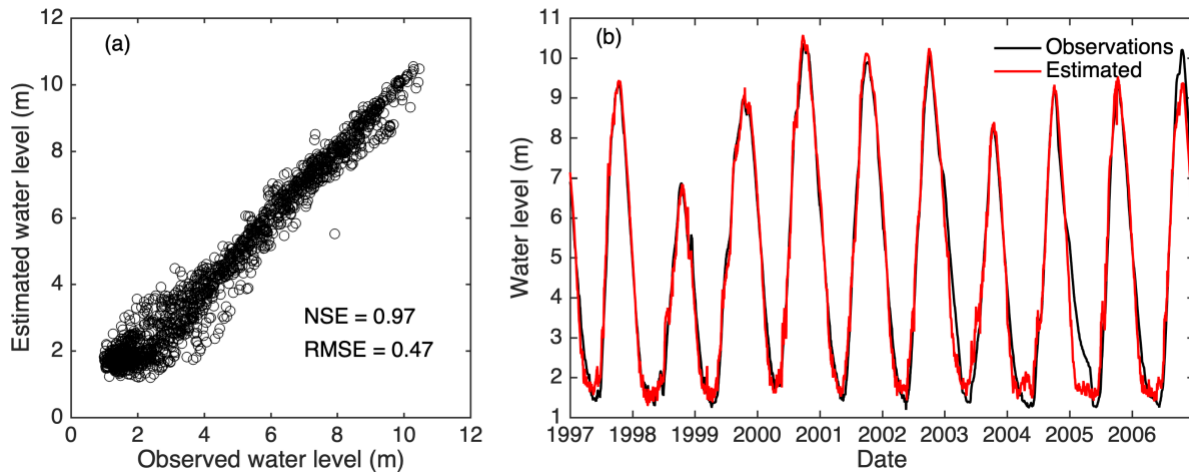
129 Daily water level data of Kompong Luong (WL_{KL} at the lake), Prek Kdam (WL_{PK} at the Tonle
130 Sap River), and Phnom Penh port (WL_{PPP}) (see Figure 1) were collected from the Mekong River

131 Commission (<http://www.mrcmekong.org/>). WL_{KL} was available from 01 January 1997 to 30
 132 September 2020, whereas WL_{PK} and WL_{PPP} were available from 01 January 1960 to 30
 133 September 2020. Since water levels in the TSL and Tonle Sap River are strongly correlated (cf.
 134 Arias et al. (2013) and Inomata and Fukami (2008)), we first used multiple polynomial
 135 regression to predict WL_{KL} with WL_{PK} and the difference between WL_{PK} and WL_{PPP} for the
 136 period 1997 – 2020 (see Eq. (1-2)), in which 80% of the data were used for training and the rest
 137 were for testing. When comparing the observations against modeled WL_{KL} on the test set of data,
 138 the estimated time series showed a Nash-Sutcliffe efficiency of 0.97 and a Root-mean-square
 139 error of 0.47 m, indicating thus a good fit with the data (Figure 2). A complete series of daily
 140 WL_{KL} was then estimated using multiple polynomial regression from 01 January 1960 to 30
 141 September 2020 (Figure S1). In this study, we focused on the hydrological year (1 May – 30
 142 April) and divided it to wet season (May – October) and dry season (November – April) to be
 143 consistent with the flood timing (Kummu & Sarkkula, 2008).

144

$$145 \quad WL_{KL} \sim \text{polym}(\text{Diff}_{PPP-PK}, WL_{PK}, \text{degree} = 3, \text{raw} = \text{TRUE}) \quad \text{Eq. (1)}$$

$$146 \quad \text{Diff}_{PPP-PK} = WL_{PPP} - WL_{PK} \quad \text{Eq. (2)}$$



147

148 **Figure 2.** Comparison of the observed water level at Kompong Luong (WL_{KL}) station and
 149 estimated water level based on multiple polynomial regression (a) on the test set of data for 1997
 150 – 2020 and (b) for 1997 – 2006. The estimated time series had a Nash-Sutcliffe efficiency (NSE)
 151 of 0.97 and a Root-mean-square error (RMSE) of 0.47 m, indicating a good fit with the observed
 152 data.

153

154 To estimate the daily inundation area and lake volume, we employed a Digital Bathymetry
 155 Model of the TSL using WL_{KL} , following the method from Kummú & Sarkkula (2008) and
 156 Kummú et al. (2014), which has also been applied by Arias et al. (2013). Good agreements of
 157 water level and surface extent between this method and a MODerate resolution Imaging Sensor
 158 (MODIS)-based estimation demonstrate the accuracy of this method (Frappart et al., 2018).
 159 Hence, daily inundation area and lake volume data throughout 1960 – 2019 were obtained.
 160 Regarding the flood pulse change, we assessed the changes in the key flood pulse parameters
 161 (Junk et al., 1989; Kummú, Keskinen, & Varis, 2008; Lamberts, 2006) as shown in Table 1.

162

163 Table 1. Definition of key flood pulse parameters for each hydrological year from 1 May – 30
 164 April

Flood pulse parameter	Acronym	Definition
Annual water level	WL_hy	Annual mean water level (1 May – 30 April)
Wet season water level	WL_wet	Wet season mean water level (1 May – 31 October)
Dry season water level	WL_dry	Dry season mean water level (1 November – 30 April)
Maximum water level	WL_max	Maximum daily water level defined as the 95 th percentile for daily water level
Minimum water level	WL_min	Minimum daily water level defined as the 5 th percentile for daily water level
Water level amplitude	WL_amp	The amplitude of water level between WL_max and WL_min
Flooded area amplitude	WA_amp	The amplitude of inundation area between maximum and minimum flooded area (defined as the 95 th and 5 th percentile for daily inundation area, respectively)
Start date of a flood	StartDate_Flood	Start date of a flood when the water level is above 2 m for the first time
End date of a flood	EndDate_Flood	End date of a flood when the water level is below 2 m for the first time after the start date of the flood
Flood duration	Duration_Flood	Duration of a flood is the days between StartDate_Flood and EndDate_Flood
Date of WL_max	WL_max_date	The date when WL_max occurs defined as the intermediate date when the water level is greater than the WL_max
Date of WL_min	WL_min_date	The date when WL_min occurs defined as the intermediate date when the water level is lower than the WL_min

165

166 **2.3 Atmospheric circulations indices**

167 As climate influences the hydrology in the MRB and flood regime of the TSL (Kummu et al.,
168 2014), large-scale atmospheric circulation index data were also employed, including El Niño-
169 Southern Oscillation (ENSO), Pacific Decadal Oscillation (PDO), and Indian Ocean Dipole
170 (IOD). They have strong connections to the hydroclimate in the MRB (Delgado, Apel, & Merz,
171 2010; Hrudya, Varikoden, & Vishnu, 2021; Räsänen & Kummu, 2013). The data for these three
172 indices are available from ESRL/NOAA
173 (https://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/): for ENSO data we used NINO 3.4,
174 available from 1870 to present; for PDO it is available from 1900 to present; and for IOD we
175 used the Dipole Mode Index that is available from 1870 to present. We calculated the averaged
176 monthly NINO 3.4 from December to the following February as the annual ENSO index (Chen,
177 Ho, Chen, & Azorin-Molina, 2019); the averaged monthly PDO from November to the following
178 March as the annual PDO index (Feng, Wang, & Chen, 2014); and the averaged monthly IOD
179 from June to November as the annual IOD index (Feng & Chen, 2014).

180

181 **2.4 Quantification of the temporal changes of the flood pulse parameters**

182 To investigate the flood pulse change in the TSL, we estimated the trend and variability of the
183 lake's flood regime by hydrological year, and by wet and dry seasons. Mann-Kendall (Kendall,
184 1938) and Sen's slope (Sen, 1968) were employed to estimate the trends, which are widely used
185 in hydroclimate studies (Chen et al., 2018; Wu, Wang, Cai, & Li, 2016; Xue, Liu, & Ge, 2011).
186 Averaged subdecadal variance of the time series (variance of scales lower than 10 years) was
187 also evaluated using the wavelet analysis, which is a commonly used tool for analyzing the time-
188 space frequencies of non-stationarity hydroclimate time series (Delgado et al., 2012; Taleb &
189 Druyan, 2003; Torrence & Compo, 1998), using a toolkit from Torrence & Compo (1998). The
190 R package 'segmented' based on regression models was used to detect breakpoints of the
191 interannual time series (Muggeo, 2003, 2017), which can compute the optimal breakpoints
192 (Ferguson, Humphry, Lawson, Brendel, & Bechtold, 2018).

193

194 To measure shift days of the daily water level before and after the detected breakpoints (in 1986
195 and 2000 estimated in this study), we first calculated the mean daily water level for the two
196 periods (i.e., 1986 – 2000 and 2000 – 2019) and divided the hydrograph into two time periods at
197 the joint. Then the mean difference of the shift days between the two periods was calculated
198 separately. We measured the return periods of the inundation area in the three time periods
199 divided by the breakpoints using the Gumbel distribution. The Pearson correlation coefficient
200 was used to quantify correlations between the flood pulse parameters and large-scale
201 atmospheric circulations (ENSO, PDO, and IOD). Using a 21-year moving window, we analyzed
202 the changes in correlation between the flood pulse parameters (i.e., water level) and the
203 atmospheric circulations for 1960 – 2019.

204

205 **2.5 Anthropogenic impacts on flood pulse**

206 Owing to the complex TSL system and lacking observations, it is difficult to quantify the human
207 activity impacts on the flood pulse with available data. Considering the rapid increasing dams in
208 the upper stream of the lake, primarily shifting the seasonal flow regime (Hecht et al., 2019; Yun
209 et al., 2020), seasonal change of discharge in the upstream Stung Treng station (a station with
210 long observations close to the lake, see Figure 1) could reflect the potential impacts on flood
211 pulse from the hydropower development. Following Kallio & Kummu (2021), we employed the
212 following discharge data to measure the trend of high flow (Q5) and low flow (Q95):

- 213 - observed discharges in the Stung Treng station for 1960 – 2019, including both climatic
214 and anthropogenic impacts, and;
- 215 - simulated discharges from GLOFAS global streamflow reanalysis products from 1979
216 without the information of the recent hydropower development and thus reflecting only
217 the climatic impacts on discharges (Alfieri et al., 2020; Kallio & Kummu, 2021).

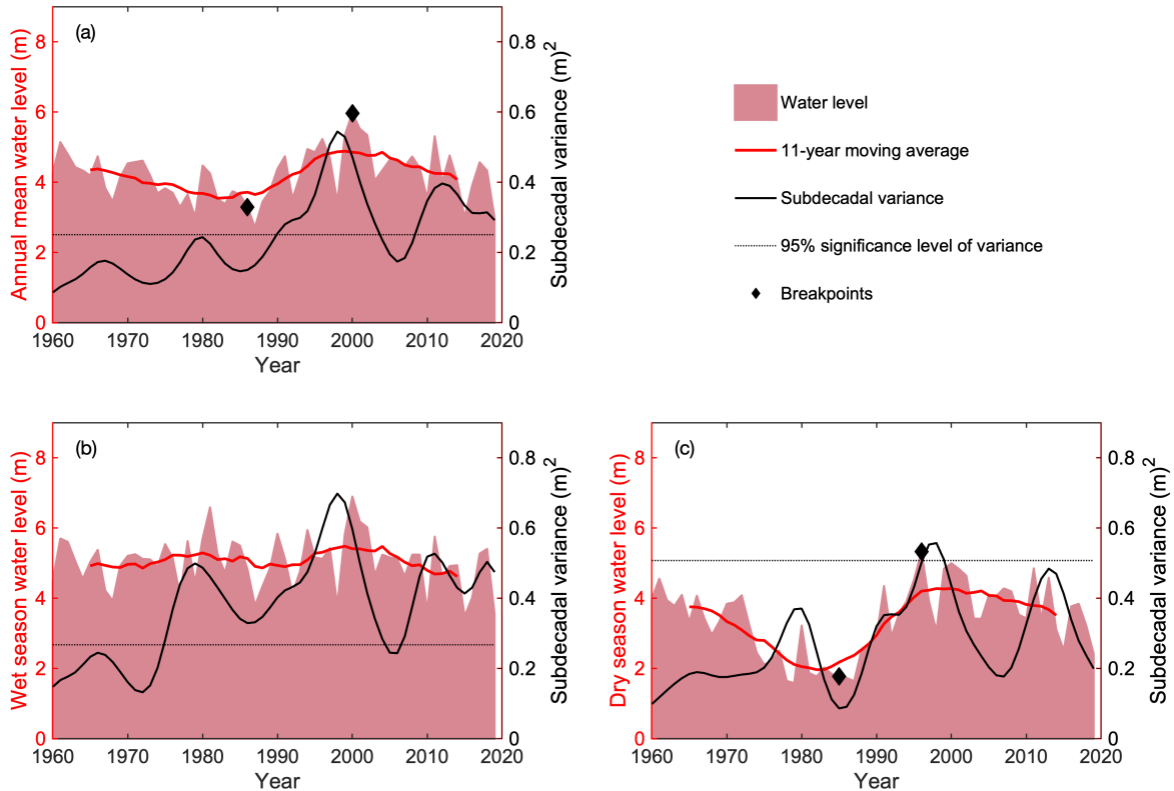
218 We were then able to disentangle the climatic and anthropogenic impacts on changes in
219 discharge in Stung Treng by comparing the difference between the observed and simulated data.

220

221 **3. RESULTS**

222 **3.1 Changes in flood pulse parameters**

223 Changes in the flood pulse parameters are shown in Figures 3 – 5 and summarized in Table 2.
224 The annual mean water level (WL_hy) of the TSL has fluctuated between 1960 and 2019 (Figure
225 3a). The lowest WL_hy can be seen in dry years 1998, 2010, and 2015, and the highest WL_hy
226 in wet years 1996, 2000, and 2011. Breakpoints were found in 1986 and 2000; the 11-year
227 moving average of WL_hy rose between 1986 and 2000 ($p < 0.01$) and fell significantly in the
228 other two periods (1960 – 1986 and 2000 – 2019) (see Table 2). Time series of the averaged wet
229 season water level (WL_wet) also fluctuated over the years, however no breakpoint was detected
230 (Figure 3b). The averaged dry season water level (WL_dry) rose during 1986 and 1996 ($p <$
231 0.001) and decreased significantly at the other two time periods (1960 – 1986 and 1996 – 2019)
232 (Figure 3c). WL_hy had significant subdecadal variability in the 1990s and 2010s, whereas such
233 variability for WL_wet was significant in the 1970s – 2010s. In addition, all the three parameters
234 showed increasing subdecadal variabilities before the 2000s. Overall, these results indicate clear
235 rising and falling stages of the water level over 1960 – 2019, with significant subdecadal
236 variability; and there were apparent declining trends of WL_hy and WL_dry from the late 1990s.
237 Similar results could also be found for the inundation area and water volume (Figure S2 –S3).



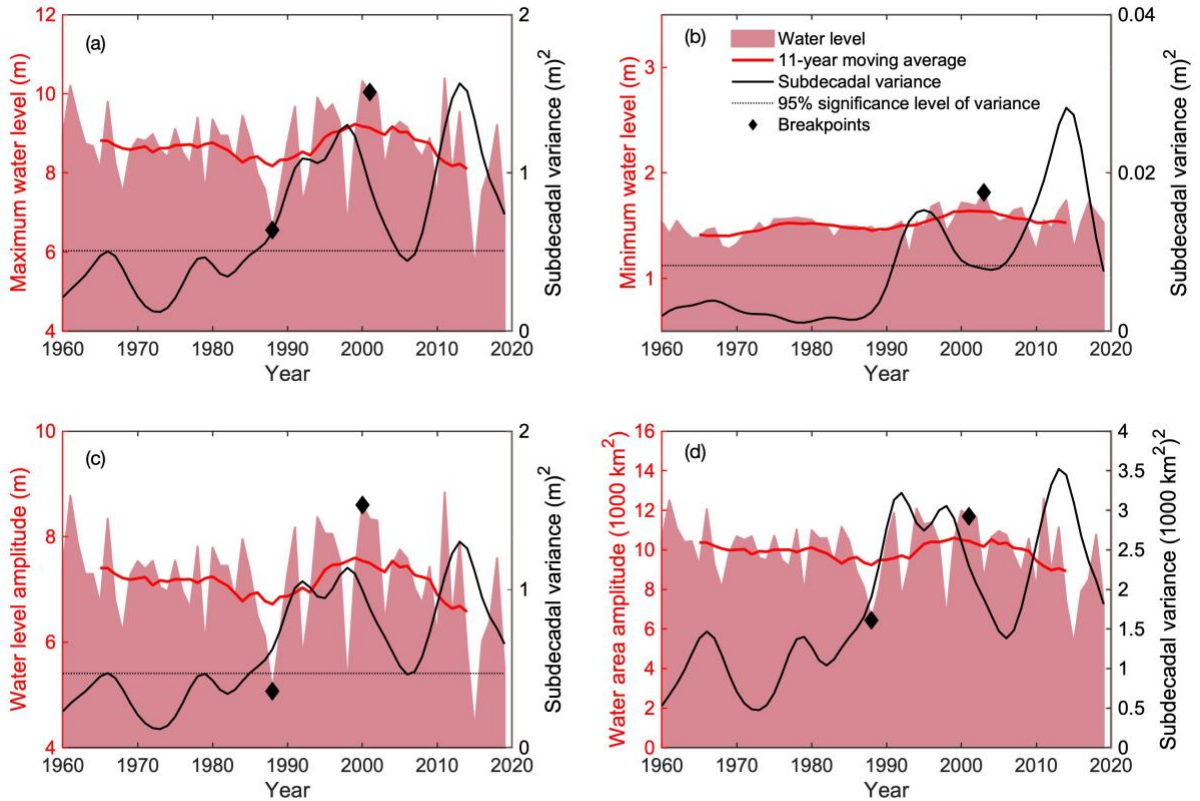
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239 **Figure 3.** Time series of water level of the Tonle Sap Lake for 1960 – 2019: Mean water level:
 240 (a) annual, (b) wet season (May – October), and (c) dry season (November – April). The red line
 241 is the 11-year moving average of water level time series, whereas the black line represents the
 242 subdecadal variance (variance of scales lower than 10 years) of the water level estimated by
 243 wavelet analysis, with significance level of 95% shown in black dash line. The breakpoints are
 244 marked with diamond markers determined with R package ‘segmented’ (Muggeo, 2003, 2017).

245

246 Interannual changes of the TSL’s peak water level and inundation area for 1960 – 2019 are
 247 displayed in Figure 4a-c. The annual WL_max fluctuated between 6 and 11 m, with a significant
 248 subdecadal variability from the mid-1980s. The WL_max has two breakpoints in 1988 and 2001
 249 and it significantly decreased from 2001 (-0.14 m/year, $p < 0.05$). The annual WL_min displayed
 250 an increasing trend before the breakpoint in 2003 (0.005 m/year, $p < 0.01$) with no significant
 251 trend after that. It has significant subdecadal variability from the 1990s. Several spikes in the
 252 peak water level revealed large flood and drought events (i.e., 1996, 1998, 2000). The amplitude
 253 between WL_max and WL_min (WL_amp) followed a similar trend with the WL_max, as

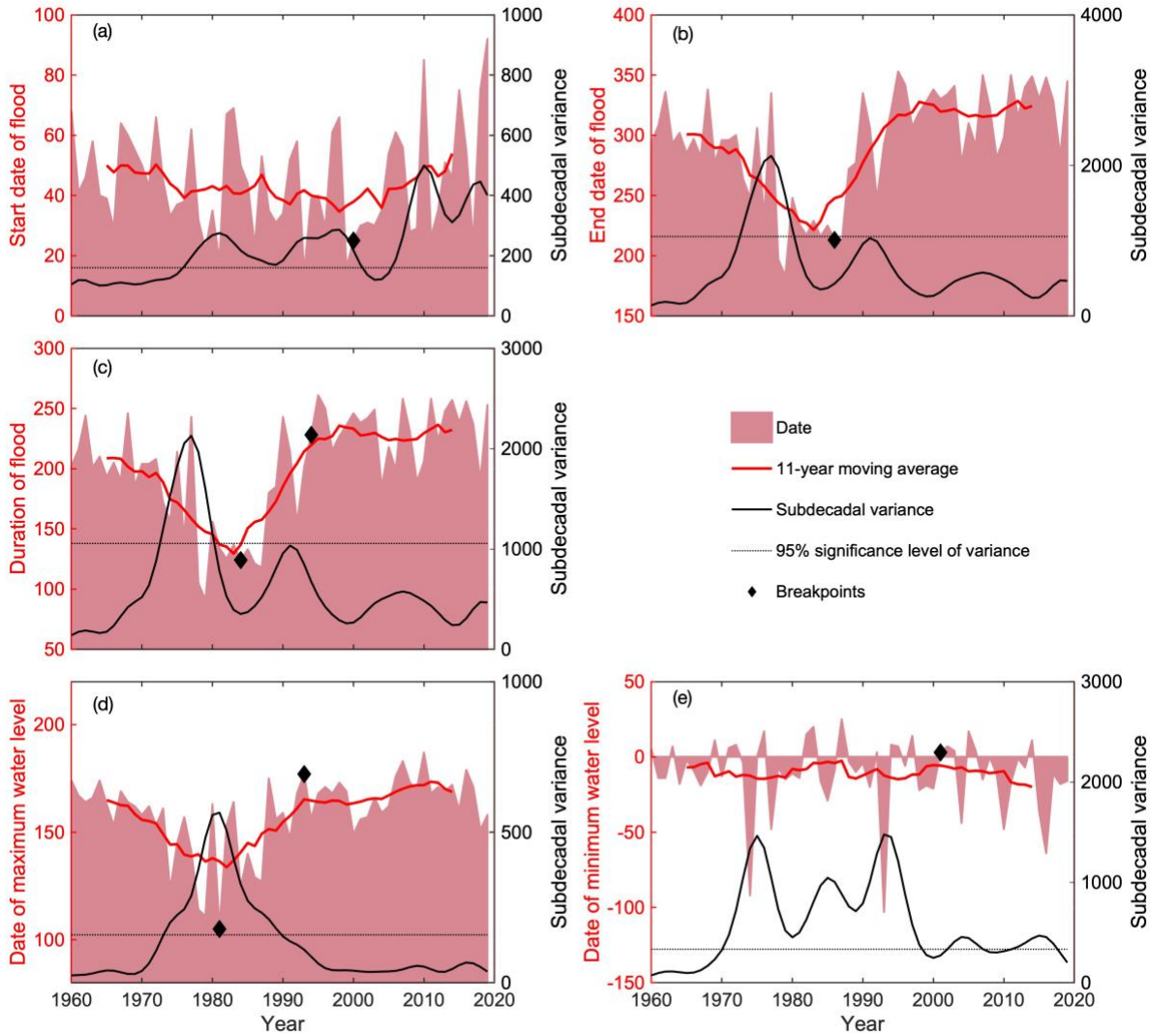
254 WL_min has stayed rather stable (Figure 4c). The amplitude between maximum and minimum
 255 inundation area also showed a similar trend with the WL_max and WL_amp (Figure 4d).
 256 Besides, these parameters showed increasing subdecadal variabilities from the 1960s.



257
 258 **Figure 4.** Time series of the Tonle Sap Lake’s peak water levels and inundation area for 1960 –
 259 2019. The maximum (a) and minimum (b) is defined as the 95th- and 5th- percentile of the daily
 260 water level records over hydrological year, with the difference between the maximum and
 261 minimum water levels defining the water level amplitude (c), and water area amplitude (d). The
 262 red line is the 11-year moving average of water level time series, whereas the black line
 263 represents the subdecadal variance (variance of scales lower than 10 years) of the water level
 264 estimated by wavelet analysis, with significance level of 95% shown in black dash line. The
 265 breakpoints are marked with diamond markers determined with R package ‘segmented’
 266 (Muggeo, 2003, 2017).

267
 268 The StartDate_Flood, EndDate_Flood, Duration_Flood, WL_max_date, and WL_min_date
 269 fluctuated over 1960 – 2019 (Figure 5 and Table 2). Both StartDate_Flood and WL_min_date

270 had a breakpoint around 2000; however, they significantly delayed and advanced after 2000,
271 respectively ($p < 0.05$). The WL_max_date and Duration_Flood both had two breakpoints
272 around 1981 – 1984 and 1993 – 1994; and they both decreased before the first breakpoint ($p <$
273 0.01), with no significant trend after the second breakpoint. The EndDate_Flood had a
274 breakpoint in 1986 that advanced (-3.96 day/year, $p < 0.001$) and delayed (1.47 day/year, $p <$
275 0.01) before and after the breakpoint, respectively. Similar to the WL_wet, the StartDate_Flood
276 had significant subdecadal variability from the mid-1970s. The EndDate_Flood and
277 Duration_Floods both have significant variability in the 1970s. And the WL_max_date and
278 WL_min_date had significant variabilities in the 1970s – 1980s and 1970s – 2010s, respectively.
279 Overall, these results indicate an exceptionally high subdecadal variability in the lake's flood
280 between the 1970s and 1990s; the StartDate_Flood, EndDate_Flood, and the WL_max_date have
281 delayed from 2000, 1986, and 1981, respectively.



282

283 **Figure 5.** Time series of the Tonle Sap Lake's flood timing for 1960 – 2019. The start and end
 284 dates of a flood are defined as the dates when the water level is above and below 2 m water level
 285 for the first time, respectively (Kummu et al., 2008). The dates of maximum and minimum water
 286 levels are the intermediate dates when the water level is greater and lower than the maximum and
 287 minimum water levels, respectively. The red line is the 11-year moving average of the flood time
 288 series, whereas the black line represents the subdecadal variance (variance of scales lower than
 289 10 years) of the flood timing estimated by wavelet analysis, with significance level of 95%
 290 shown in black dash line. The breakpoints are marked with diamond markers determined with R
 291 package 'segmented' (Muggeo, 2003, 2017). In this study, we focused on the hydrological year
 292 (1 May – 30 April), so that the 1st of May is numbered as 0 and dates before that are negative.

293

294 Table 2. Summary of changes in flood pulse parameters. Breakpoints were determined with R package ‘segmented’
295 based on regression models (Muggeo, 2003, 2017). Subdecadal variability was assessed with wavelet analysis
296 (Torrence and Compo, 1998; Taleb and Druyan, 2003; Delgado *et al.*, 2012). Trends were estimated with Mann-
297 Kendall (Kendall, 1938) and Sen’s slope (Sen, 1968) method.

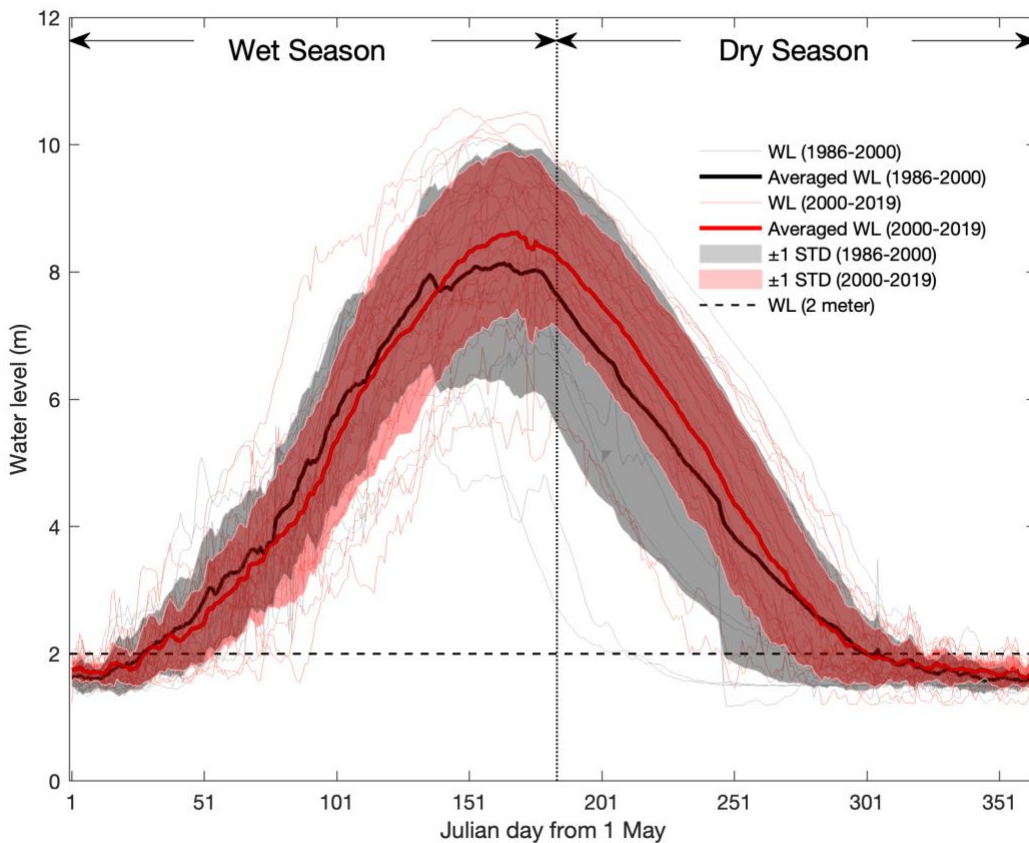
Flood pulse parameter	Time periods delineated by breaks	Trend in mean (m/year or days/year)	Trend in subdecadal variability ([m]²/year or [km²]²/year)
Annual water level (WL_hy)	1960 – 1986	-0.05***	0.003*
	1986 – 2000	0.17**	0.03***
	2000 – 2019	-0.08**	-0.0006
Wet season water level (WL_wet)	1960 – 2019	-0.002	0.005***
Dry season water level (WL_dry)	1960 – 1986	-0.10***	0.004*
	1986 – 1996	0.32***	0.03***
	1996 – 2019	-0.07**	-0.008
Maximum water level (WL_max)	1960 – 1988	-0.03	0.008***
	1988 – 2001	0.16**	0.04*
	2001 – 2019	-0.14*	0.03
Minimum water level (WL_min)	1960 – 2003	0.005**	0.0001
	2003 – 2019	-0.003	0.001*
Water level amplitude (WL_amp)	1960 – 1988	-0.03	0.008*
	1988 – 2000	0.13	0.03*
	2000 – 2019	-0.13**	0.011
Flooded area amplitude (WA_amp)	1960 – 1988	-57.8	26'353*
	1988 – 2001	201.8	-1'356
	2001 – 2019	-204.2*	52'158
Start date of a flood (StartDate_Flood)	1960 – 2000	-0.39	4.43***
	2000 – 2019	1.76*	16.95***
End date of a flood (EndDate_Flood)	1960 – 1986	-3.96***	36.19
	1986 – 2019	1.47**	-9.25*
Flood duration (Duration_Flood)	1960 – 1984	-4.00**	53.53***
	1984 – 1994	10.44**	74.88**
	1994 – 2019	0.13	-2.19
Date of WL_max (WL_max_date)	1960 – 1981	-2.00**	22.61***
	1981 – 1993	4.00*	-29.02***
	1993 – 2019	0.09	-0.04
Date of WL_min (WL_min_date)	1960 – 2001	-0.43	22.25
	2001 – 2019	-1.35***	-0.05

*, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$

299

300 **3.2 Changes in the hydrograph**

301 The years of 1986 and 2000 appeared as breakpoints in many of the above-analyzed flood pulse
 302 parameters (Figures 3-5, Table 2), and flood timing displayed a trend of advancement before
 303 1986 and delay after 2000. Figure 6 presents a hydrograph of mean daily water level for the two
 304 periods of 1986 – 2000 and 2000 – 2019. Compared to the former period, our results show that
 305 the flood regime was delayed in 2000 – 2019. The average of such delays was about 4.7 and 10.0
 306 days in the wet and dry seasons, respectively.



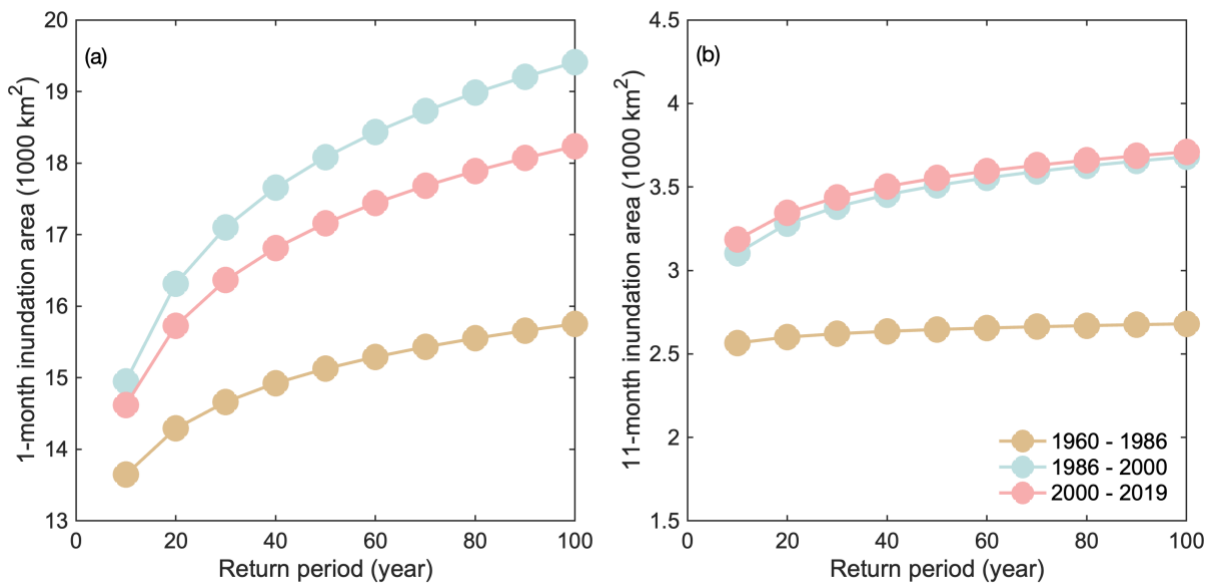
307

308 **Figure 6.** Hydrograph of the Tonle Sap Lake for 1986 – 2000 and 2000 – 2019. The STD refers
 309 here to standard deviation, and the gray and red shading represent the ± 1 standard deviation of
 310 the daily mean water level. The gray (red) lines represent the daily water level in the years for
 311 1986 – 2000 (2000 – 2019), with the thick black (red) line represents the mean of the daily mean
 312 water level.

313

314 **3.3 Changes in return period of flood inundation area**

315 Figure 7 shows the high and low inundation area (i.e., 1-month and 11-month inundation areas)
 316 of the TSL floodplain at different return periods in 1960 – 1986, 1986 – 2000, and 2000 – 2019.
 317 Regarding the return period of 1-month inundation area, 1986 – 2000 witnessed the largest
 318 inundation area at all return periods, followed by 2000 – 2019 and 1960 – 1986. For example, a
 319 100-year return period event of inundation was about 15,753 km²; this value increased to 19,408
 320 km² in 1986 – 2000 and decreased to 18,234 km² in 2000 – 2019 (Figure 7a). In terms of the low
 321 inundation area, similar results occurred with increased inundation areas for the latter two
 322 periods (Figure 7b). However, 2000 – 2019 had a slightly larger inundation area than that of
 323 1986 – 2000 at the same return periods. Overall, these results indicate consistent rising
 324 probabilities of low inundation area in the TSL in 2000 – 2019, and the declining probabilities of
 325 high inundation area in 2000 – 2019 compared to 1986 – 2000 suggest an increasing probability
 326 of shrinking lake area.



327

328 **Figure 7.** Comparing the inundation area of the Tonle Sap Lake at different return periods in
 329 1960 – 1986, 1986 – 2000, and 2000 – 2019. 1-month inundation area represents high inundation
 330 area in the wet season, and 11-month inundation area represents low flood inundation area in the
 331 dry season. The return period of the inundation area was estimated using the Gumbel
 332 distribution.

333

334 **3.4 Correlation with atmospheric circulation indices**

335 Correlations between the large-scale atmospheric circulations (Figure S4) and flood pulse
 336 parameters are displayed in Table 3. Both ENSO and PDO had significant negative correlations
 337 with the water level at annual and wet season scales, together with peak water level and area
 338 (WL_max, WL_amp, and WA_amp). The ENSO and PDO also strongly correlated with the
 339 StartDate_Flood and WL_dry, respectively, and the IOD showed a significant positive
 340 correlation with the StartDate_Flood.

341

342 Table 3. Correlation coefficients between key flood pulse parameters and large-scale
 343 atmospheric circulations: El Niño-Southern Oscillation (ENSO), Pacific Decadal Oscillation
 344 (PDO), and Indian Ocean Dipole (IOD).

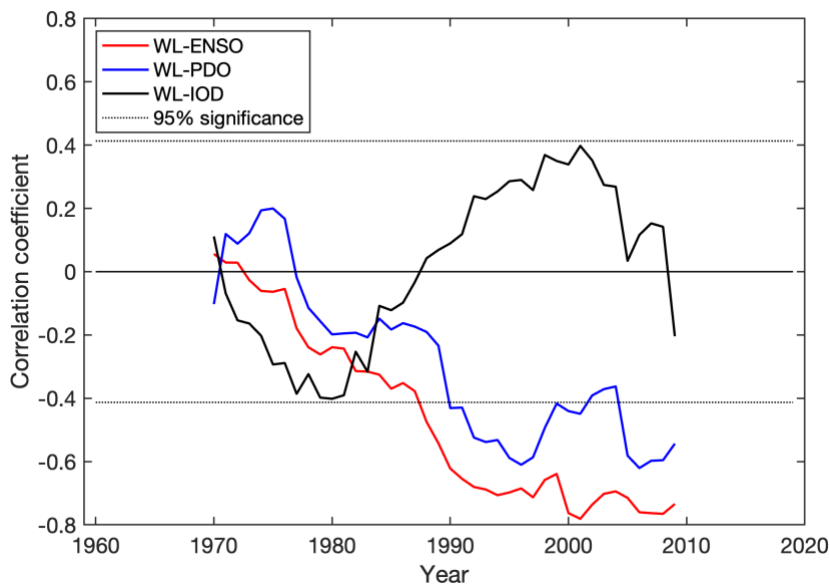
Flood pulse parameter	ENSO	PDO	IOD
Annual water level (WL_hy)	-0.36**	-0.41**	0.07
Wet season water level (WL_wet)	-0.48***	-0.31*	0.00
Dry season water level (WL_dry)	-0.16	-0.35**	0.10
Maximum water level (WL_max)	-0.35**	-0.36**	0.06
Minimum water level (WL_min)	-0.22	0.06	0.15
Water level amplitude (WL_amp)	-0.33**	-0.39**	0.04
Flooded area amplitude (WA_amp)	-0.32*	-0.38**	0.04
Start date of a flood (StartDate_Flood)	0.39**	0.19	0.27*
End date of a flood (EndDate_Flood)	-0.02	-0.13	0.18
Flood duration (Duration_Flood)	-0.02	-0.13	0.18
Date of WL_max (WL_max_date)	0.17	-0.08	0.10
Date of WL_min (WL_min_date)	-0.01	-0.10	0.20

*, $p < 0.05$; **, $p < 0.01$; ***, $p < 0.001$

345

346 Taking WL_wet as an example, Figure 8 presents changing correlations between the WL_wet
 347 and atmospheric circulations using a 21-year moving window for 1960 – 2019. The WL_wet had
 348 a negative correlation coefficient with ENSO, which increased over time and became significant
 349 since the 1990s, indicating potential associations between ENSO and water level. This also

350 means that the correlation between ENSO and water level is changing over time. The WL_wet
 351 negatively correlated with PDO, and the coefficient was significant in the 1990s and late 2000s.
 352 As to WL_wet and IOD, they shifted from negative correlation to positive correlation but
 353 remained insignificant over the whole period. The significant correlations between WL_wet and
 354 ENSO and PDO coincide with the wave pattern of WL_wet's subdecadal variance, especially in
 355 the mid-1970s, 1990s, and late 2000s (see Figure 3). The breakpoints around 1986 and 2000
 356 concurred with the timing of significant correlations between them, indicating strong
 357 associations between the lake's flood regime and atmospheric circulations, ENSO and PDO in
 358 particular. In addition, we found significant wavelet coherence changes between WL_wet and
 359 atmospheric circulations at the frequency of two- to fourteen-year periods; and the anti-phase
 360 between them indicates that the wet years tend to be associated with cold events (Figure S5).
 361 Overall, our results suggest that the large-scale atmospheric circulations could have influenced
 362 the water level of the TSL in different time scales.



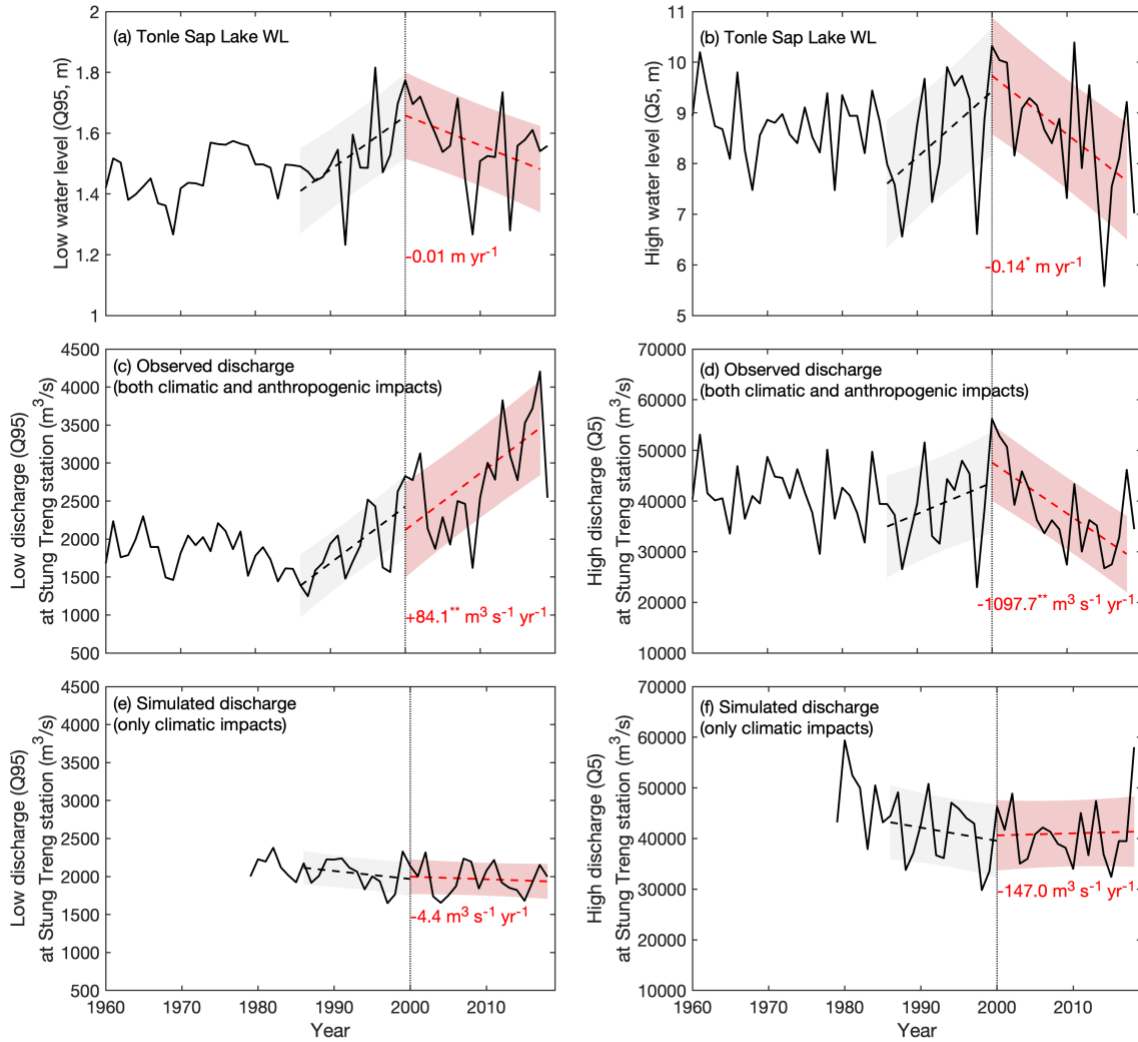
363

364 **Figure 8.** 21-year moving window correlation between the averaged wet season water level and
 365 atmospheric circulations, as an example correlation. See tabulated results in Table 3. The value
 366 of a 21-moving window is marked using the year in the middle. For example, for the correlation
 367 coefficient in 1970, it represents the correlation between 1960 and 1980. ENSO stands for El
 368 Niño-Southern Oscillation, PDO for Pacific Decadal Oscillation, and IOD for Indian Ocean
 369 Dipole. See the oscillation indices for 1960-2019 in Supplementary Figure S4.

370

371 **3.5 Anthropogenic impacts on the flood pulse**

372 To understand the anthropogenic impacts on the Tonle Sap flood pulse, we used the observed
373 and modeled discharges in the Mekong mainstream in Stung Treng as a proxy (Figure 9). Given
374 the two breakpoints in 1986 and 2000 of the flood pulse parameters (i.e., WL_{hy}, WL_{dry},
375 EndDate_{Flood}, see Figures 3-5 and Table 2), we compared the linear trend lines of 1986 – 2000
376 and 2000 – 2019 for low and high water levels in the lake (Figure 9a,b) with those for low and
377 high discharges (Figure 9c-f). We found that the apparent shifts in observed low TSL water
378 levels between the two periods are not shown in the observed low discharges in Stung Treng
379 (Figure 9a,c). While simulated low discharges with climatic impacts only showed no trend in
380 either of the two periods (Figure 9e), the observed low flows (with dam operations included)
381 showed a clear upward trend since the 1990s. This indicates that the increased low season
382 discharges are due to anthropogenic drivers but are not shown in the water levels in the lake. On
383 the contrary to this, the high water levels in TSL show a similar trend to the observed high
384 discharges (Figure 9b,d): upward trend until the year 2000 and then sharply decreasing trend. In
385 simulated high discharges, this is not visible (Figure 9f), indicating that anthropogenic drivers
386 have influenced the high discharges in Stung Treng and also the changes in high water levels,
387 and thus some flood pulse parameters, in the TSL.



388

389 **Figure 9.** Trend analysis of water level of the Tonle Sap Lake and discharges at Stung Treng
 390 station. Low (Q95, a) and high (Q5, b) water level of the Tonle Sap Lake; Low (Q95) and high
 391 (Q5) observed discharge at Stung Treng station, including both climatic and anthropogenic
 392 impacts on discharge (c, d) and simulated discharge from GLOFAS model, including only
 393 climatic impacts on discharge (e, f). Given the two breakpoints in 1986 and 2000 of the flood
 394 pulse parameters (see Figure 3-5 and Table 2), we assessed the linear trend lines of 1986 – 2000
 395 (black dash line) and 2000 – 2019 (red dash line) for the low and high water levels as well as the
 396 observed and simulated discharges. The gray and red shading represent the ± 1 standard deviation
 397 of the regression. The value of the trend of 2000 – 2019 is shown in red text in each subfigure.

398

399 **4. DISCUSSION**

400 **4.1 Flood pulse change in the Tonle Sap Lake**

401 This study showed significant decreasing trends of water levels and inundation areas from the
402 late 1990s in the dry season and annual scales (Figure 3). This is in line with previous studies
403 (Kallio & Kummu, 2021; Lin & Qi, 2017; Wang et al., 2020; Wang, Feng, Liu, & Chen, 2021),
404 indicating a substantially diminished flood pulse of the TSL from 2000. Existing literature based
405 on remote sensing data and other resources have limited with the available time series of water
406 level and inundation area data from the late 1980s (Dang et al., 2016; Ji et al., 2018; Sakamoto et
407 al., 2007; Tangdamrongsub et al., 2016), but our study provides a more extended time period
408 analysis and puts the flood pulse change to the TSL since the late 1990s into a longer time
409 perspective. Numerous studies exist on the impacts of hydropower development on the flood
410 pulse of the TSL and to the changes in the higher (lower) dry (wet) season water levels (Arias et
411 al., 2012; Kallio & Kummu, 2021; Keskinen, Someth, Salmivaara, & Kummu, 2015; Kummu &
412 Sarkkula, 2008). Our results revealed that, indeed, the maximum water level and inundation area
413 had decreased significantly since 2000, but no trend was found for the minimum water level
414 (Table 2, Figure 9). Cochran et al. (2014) and Ji et al. (2018) compared two time periods
415 (before and after 1991 [1960 – 2010] and 2008 [2000 – 2014], respectively) also found
416 diminishing flood pulse; and Ji et al. (2018) showed decreasing dry season inundation area. Our
417 study based on the long term daily water level revealed a more worrisome situation of the
418 diminishing flood pulse of the TSL at annual and dry season scales than previous studies
419 suggested (Table 2), accompanied by the increasing subdecadal variability, which strongly
420 connects to the livelihood of the local residents.

421

422 The lake has experienced interannual fluctuations of water level, inundation area, and water
423 volume throughout the observed period from 1960, accompanied by significant subdecadal
424 variabilities from the 1980s. The increasing variance of lake's water level could be compared
425 and validated with precipitation and discharge data (Delgado et al., 2010; Ho, Baik, Kim, Gong,
426 & Sui, 2004; Wang, Wu, & Lau, 2001). Many studies have presented evidence of the impacts of
427 climate change on the changes in Mekong flow and TSL (Day et al., 2011; Delgado et al., 2012;
428 Frappart et al., 2018; Lauri et al., 2012; Räsänen & Kummu, 2013; Wang et al., 2021). There are

429 strong connections between atmospheric circulations and hydrology at the southern MRB
430 (Delgado et al., 2012; Räsänen & Kummu, 2013; Ruiz-Barradas & Nigam, 2018; Xue et al.,
431 2011). Moreover, Frappart et al. (2018) found significant correlations between the lake's surface
432 water volume and rainy season rainfall in the MRB, and the TSL's hydroclimatic extremes had a
433 strong connection to the large-scale atmospheric circulations; Wang et al. (2020, 2021) also
434 revealed significant correlations between the lake's inundations and precipitation in the region
435 north of the TSL at annual and seasonal scales. Therefore, the basin-wide and lake's hydrological
436 changes highly correlated with the large-scale climate (Delgado et al., 2012; Frappart et al.,
437 2018). Our results of the significant correlation between water level and atmospheric circulations
438 (ENSO, PDO, and IOD) using the 21-year moving window from the 1980s indicated strong
439 connections between the high variability of flood pulse and atmospheric circulations over the
440 period (Figure 8, Table 3). And their significant coherence variances (Figure S5) also indicate
441 that atmospheric circulations could have strongly influenced the flood pulse of the TSL in
442 different time scales.

443

444 Impacts of hydropower have been the main focus in many recent investigations (Arias, Piman,
445 Lauri, Cochrane, & Kummu, 2014; Cochrane et al., 2014; Hecht et al., 2019; Ji et al., 2018;
446 Kallio & Kummu, 2021; Lin & Qi, 2017; Räsänen, Koponen, Lauri, & Kummu, 2012; Räsänen
447 et al., 2017; Wang et al., 2021). The apparent differences in the trend between the observed and
448 simulated discharges at Stung Treng station (Figure 9) suggested the potential impacts of
449 hydropower development on discharges in the Mekong mainstream and flood pulse parameters
450 in the TSL. Kallio & Kummu (2021) also showed that all major dams in the Mekong Basin are
451 critical in recent impacts on the flood pulse change. Future simulations with combined scenarios
452 of hydropower and climate change are projected to impact, e.g., the inundation area, gallery
453 forest, and sediments, indicating degrading ecosystem services of the TSL in the future (Arias,
454 Cochrane, et al., 2014). Furthermore, future flow regime in the Mekong is projected to be driven
455 by the planned hydropower development (Anh, Hoang, Bui, & Rutschmann, 2019; Arias et al.,
456 2012; Dang et al., 2016; Lauri et al., 2012; Räsänen et al., 2012) and climate change (Delgado,
457 Merz, & Apel, 2014; Hoang et al., 2016; Keskinen et al., 2010; Kingston, Thompson, & Kite,
458 2011; Yun et al., 2021). Considering the crucial role of the flood pulse of the TSL on the

459 livelihood and biodiversity in Cambodia and the Mekong region, any changes to the flood pulse
460 could be devastating to the regional sustainability (Lamberts, 2006; Uk et al., 2018).

461

462 **4.2 Potential impacts of the flood pulse change on fishery**

463 The flood regime of the TSL is critical to the fishery, including the magnitude, timing, and
464 variations (Arias et al., 2013; Baran, 2006; Day et al., 2011; Poulsen et al., 2002). For instance,
465 high water levels are more favorable to the breeding and dispersal of fish larvae, and variation of
466 water levels is a critical fish migration trigger (Baran, 2006; MRC, 2010a) because higher floods
467 cultivate higher fish yield (MRC, 2010a). However, the elevated water level variance could
468 interrupt the fish migration and disturb the fish production, which is the primary protein source
469 in the region (Keskinen, 2006; Lamberts, 2006; Uk et al., 2018). Previous studies have found
470 drops of fish catches and fish size in the TSL for 2000 – 2015 (Ngor et al., 2018) and 1994 –
471 2000 (Chan, Ngor, So, & Lek, 2017), respectively. Moreover, our results indicate substantial
472 flood pulse shifts for about 5 and 10 days in the wet and dry seasons in 1960 – 1986 and 2000 –
473 2019, respectively (Figure 6). Such shift could directly affect the migratory fish (Baran, 2006;
474 MRC, 2010a). However, there is still a lack of knowledge of the impacts of flood regime
475 changes on the fishery (Lamberts, 2006; Uk et al., 2018), requiring more endeavor to better
476 understand water and fishery management.

477

478 Flood pulse change could further influence the socio-economic system in the TSL. Combined
479 with the diminishing flood pulse, population booming in Cambodia and TSL floodplain areas
480 since the 1980s has exacerbated the crop production expansion and indiscriminate fishery (Kc et
481 al., 2017; Ngor et al., 2018; Salmivaara et al., 2016). Furthermore, increased water level
482 variability has caused an unstable cropping system and posed environmental shocks on farmers
483 (Heinonen, 2006). Along with the deteriorating fishery (Chan et al., 2017; Ngor et al., 2018),
484 people's occupations have witnessed a shift from fishery to other occupations, such as forestry
485 and hunting (National Institute of Statistics, 2018). Owing to the improving livelihood
486 opportunities in urban areas, e.g., Phnom Penh and Thai cities, many farmers have migrated to
487 other areas as a replacement for local livelihood strategies since the late 1990s (Bylander, 2015;

488 Heinonen, 2006). Overall, the flood pulse change could hamper the socio-economic development
489 of the TSL area.

490

491 **4.3 Limitations and way forward**

492 In terms of the data used, uncertainties of this study arise from the estimated time series of water
493 level using the multiple polynomial regression due to the lacking observed time series for the
494 whole study period, as well as assessing the inundation area based on the Digital Bathymetry
495 Model. The comparisons between the existing observations and our modeled water level have
496 shown a good fit of the data, suggesting that the estimated time series could reflect the flood
497 pulse change reasonably well. Good agreements of water level and surface extent between the
498 method employed in our study and Frappart et al. (2018) based on MODIS estimation also
499 validated our methods in estimating the flood pulse of the TSL.

500 In terms of estimating the drivers of the changes in flood pulse parameters, we needed to use
501 proxies, including indices on large-scale atmospheric circulations as well as modeled discharge
502 in the Mekong mainstream. While these proxies indicate rather clear messages on the potential
503 impacts of these drivers, future research should focus on to quantitatively assess the impact of all
504 the drivers, such as climatic change, dam construction and operation, human water consumption,
505 land use and land cover change, on different flood pulse parameters. Tools, including basin-wide
506 hydrological models and hydrodynamic models covering the Lower Mekong Floodplains, exist
507 but to apply those for such a long time period would need extensive work on reliable input data,
508 calibration of the models, and then running multiple simulations preferably with multiple
509 models. This information could then be further linked to the ecosystem services and livelihood in
510 the region.

511

512 **5. CONCLUSIONS**

513 This study has quantified the flood pulse change in the TSL since the 1960s. The results showed
514 decreasing water levels in the dry season and for the whole year since the late 1990s. The mean
515 seasonal cycle of daily water level in the dry and wet seasons for 2000 – 2019, compared to that
516 for 1960 – 1986, have shifted by 10 and 5 days, respectively. Rising probabilities of extreme

517 inundation area in the later period compared to the earlier period were also identified. The annual
518 flood pulse parameters had strong correlations with the large-scale atmospheric circulations from
519 the 1980s. Moreover, coherence changes between the WL and atmospheric circulations further
520 indicated the influence of atmospheric circulations on the flood pulse in different time scales.
521 Also, apparent differences between the climatic and anthropogenic impacts on the discharge at
522 Stung Treng station in the Mekong mainstream indicate that anthropogenic activity has affected
523 the flood pulse parameters, especially the high water level in the TSL. Our long-term assessment
524 of the changes to the crucial TSL flood pulse could support future research to quantify the
525 impacts of flood pulse change on the fishery and livelihood of the TSL area in Southeast Asia.

526

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536

537 **COMPETING INTERESTS**

538 The authors declare no competing interests.

539

540 **DATA AVAILABILITY STATEMENT**

541 The data relating to our analyses are available as follows. Water level data is obtained from the
542 Mekong River Commission (<http://www.mrcmekong.org/>), ENSO, PDO, and IOD data is from
543 the Earth System Research Laboratory and NOAA
544 (https://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/).

545

546 **SUPPORTING INFORMATION**

547 Supporting information to this article can be found online at url.

548

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Supporting Information

Multidecadal variability of the Tonle Sap Lake flood pulse regime

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Figures S1 to S5

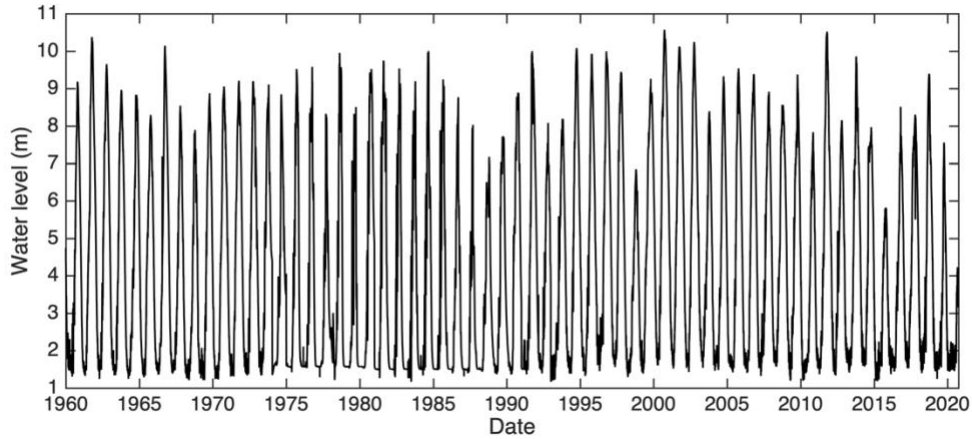


Figure S1. Time series of estimated daily water level at Kompong Luong station based on multiple polynomial regression for 1960 – 2020.

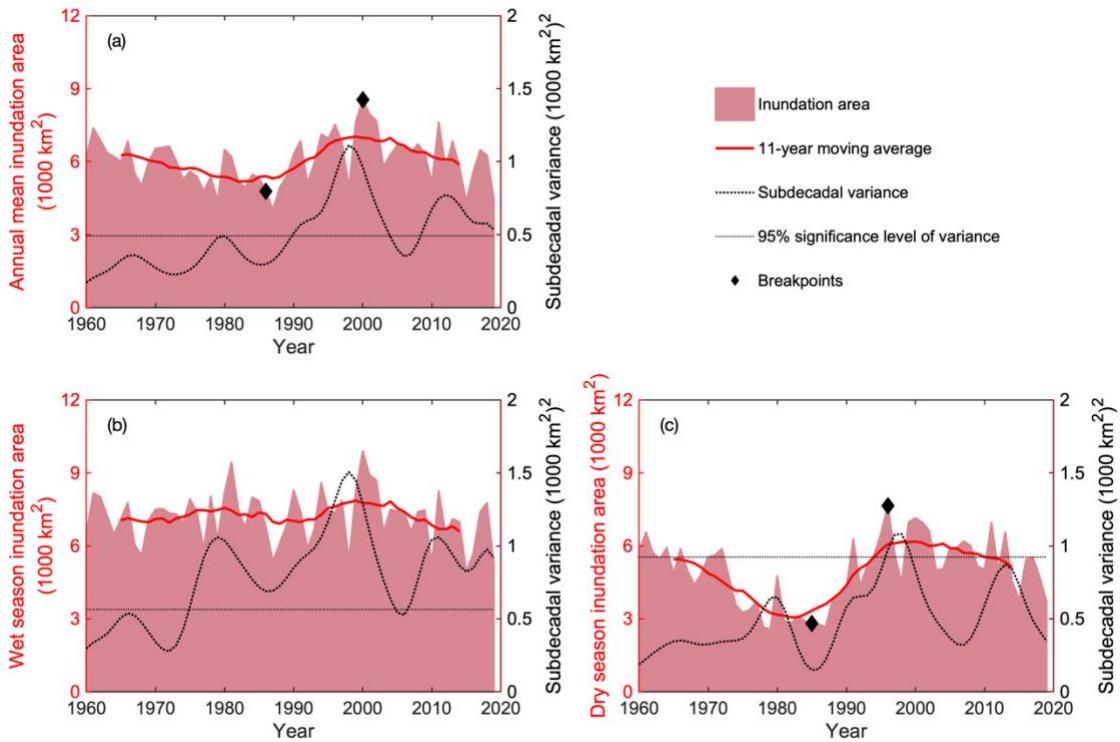


Figure S2. Time series of inundation area of the Tonle Sap Lake for 1960 – 2019. Mean inundation area in (a) annual, (b) wet season (May – October), and (c) dry season (November – April). The red line is the 11-year moving average of inundation area time series, whereas the black line represents the subdecadeal variance (variance of scales lower than 10 years) of the inundation area estimated by wavelet analysis, with significance area of 95% shown in black dash line. The breakpoints are marked with diamond markers determined with R package ‘segmented’ (Muggeo, 2003, 2017).

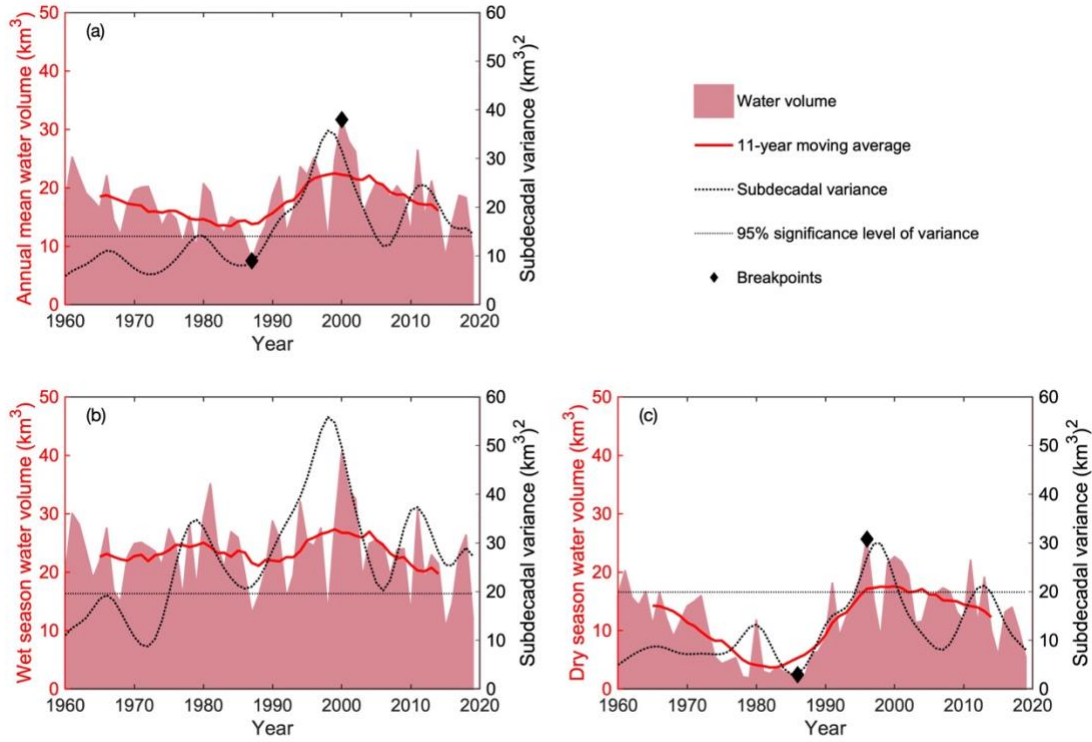


Figure S3. Time series of water volume of the Tonle Sap Lake for 1960 – 2019. Mean water volume in (a) annual, (b) wet season (May – October), and (c) dry season (November – April). The red line is the 11-year moving average of water volume time series, whereas the black line represents the subdecadal variance (variance of scales lower than 10 years) of the water volume estimated by wavelet analysis, with significance area of 95% shown in black dash line. The breakpoints are marked with diamond markers determined with R package ‘segmented’ (Muggeo, 2003, 2017).

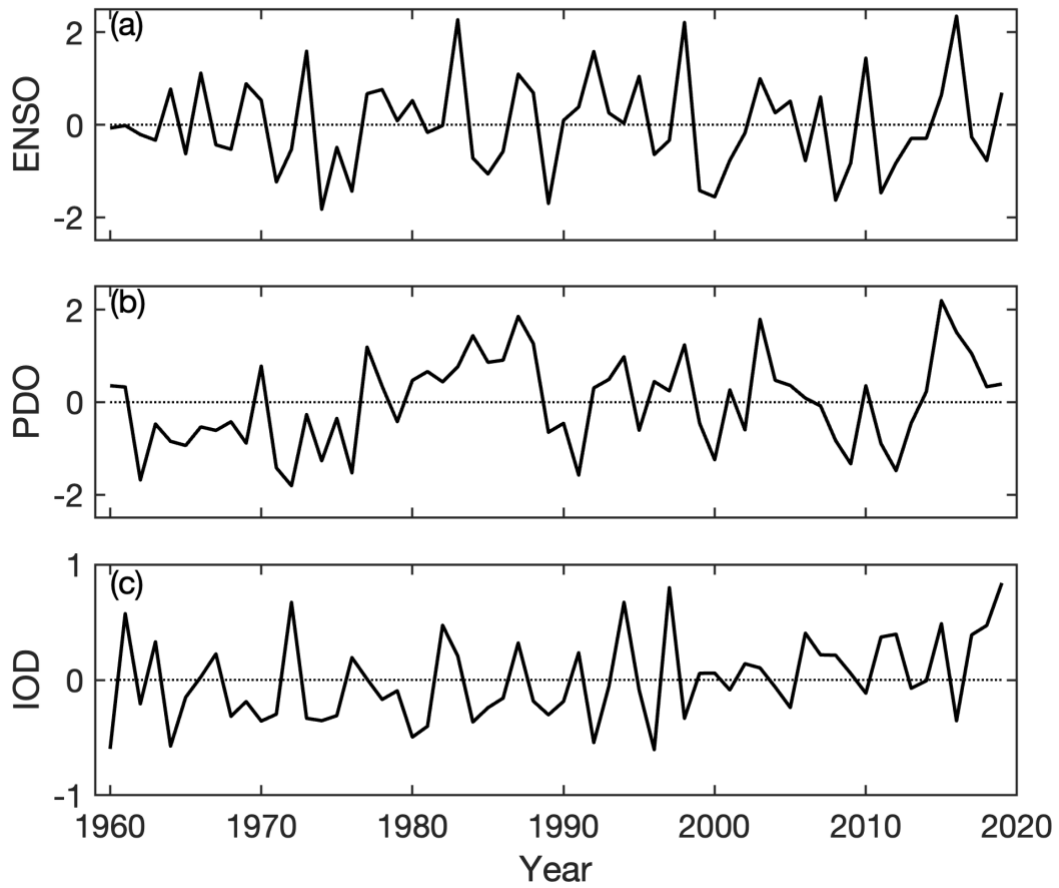


Figure S4. Time series of the atmospheric circulations: El Niño-Southern Oscillation (ENSO, a), Pacific Decadal Oscillation (PDO, b), and Indian Ocean Dipole (IOD, c) for 1960 – 2019.

The wavelet coherence method is often used to estimate covariance between two time series, which are co-varying (Grinsted, Moore, & Jevrejeva, 2004; Jevrejeva, Moore, & Grinsted, 2003; Torrence & Compo, 1998). To understand the influence of atmospheric circulations on the flood pulse of the TSL, we employed the wavelet coherence to identify the covariances of WL_wet and each of the three circulation indices (ENSO, PDO, and IOD) at frequency and time intervals, respectively. We found that WL_wet and atmospheric circulations have significant coherencies at a frequency of two- to fourteen-years periods, indicating co-varying water level of the TSL and atmospheric circulations. The vectors represented the phase difference between WL_wet and each of the atmospheric circulations. We found that both ENSO and PDO were anti-phase with WL_wet when significantly coherent, indicating that wet years with high water levels tend to be associated with cold events and vice versa. IOD and WL_wet were anti-phase before the mid-1980s. However, it changed to in-phase during the late 1990s. This means that wet years used to be associated with the negative phase of IOD (warm water in the eastern Indian Ocean), but it became associated with the positive phase of IOD (cold water in the eastern Indian Ocean). Overall, the results indicate that the atmospheric circulations have influenced the water level of the TSL in different time scales.

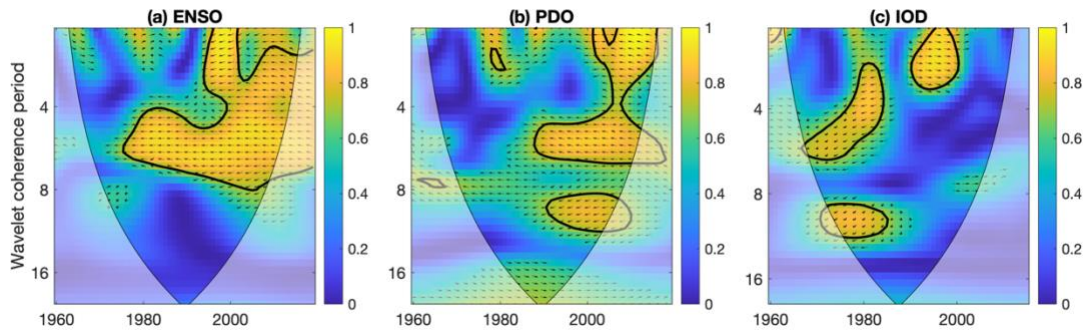


Figure S5. Wavelet coherence spectrum between the wet season water level of the Tonle Sap Lake and each of the large-scale atmospheric circulations, including El Niño-Southern Oscillation (ENSO, a), Pacific Decadal Oscillation (PDO, b), and Indian Ocean Dipole (IOD, c) for 1960 – 2019. Thick black contours enclose times and frequencies with significant phase coherence at 5% significance level. The relative phase relationship between two periodic signals is represented by the arrows (with the arrow to the right [left] indicating in-phase [anti-phase]).

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